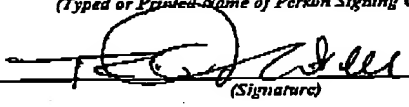


CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. 12251-US
Applicant(s): Luc OUELLET, et al.			
Serial No. 09/973,778	Filing Date 11 October 2001	Examiner HOFFMAN, John M.	Group Art Unit 1731
Invention: METHOD OF REDUCING STRESS-INDUCED MECHANICAL PROBLEMS IN OPTICAL COMPONENTS			
<p>I hereby certify that this <u>Request for Continued Examination (RCE) Transmittal</u> <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademarks Office (Fax. No. <u>1-703-872-9306</u>)</p> <p>on <u>12 October 2004</u> <small>(Date)</small></p> <p><u>Richard J. Mitchell 34,519</u> <small>(Typed or Printed Name of Person Signing Certificate)</small></p> <p> <small>(Signature)</small></p> <p>Note: Each paper must have its own certification of mailing.</p>			

P18/REV01

PTO/SB/30 (09-03)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Request for Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/973,778
	Filing Date	11 October 2001
	First Named Inventor	Luc QUELLET
	Art Unit	1731
	Examiner Name	HOFFMANN, John M.
	Attorney Docket Number	12251-US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

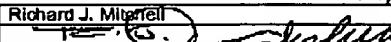
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 13-1717
- a. ☒
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)


WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Richard J. Mitrell	Registration No. (Attorney/Agent)	34,519
Signature		Date	12 October 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Richard J. Mitrell	Date	10/12/04
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 900.00**Complete if Known**

Application Number	12251-US
Filing Date	11 October 2001
First Named Inventor	Luc OUELLET
Examiner Name	HOFFMAN, John M.
Art Unit	1731
Attorney Docket No.	12251-US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

13-1717

Marks & Clerk

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	0
1002 340	2002 170	Design filing fee	0
1003 530	2003 265	Plant filing fee	0
1004 770	2004 385	Reissue filing fee	0
1005 160	2005 80	Provisional filing fee	0
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	0	0
Multiple Dependent Claims	-3** =	0	0
			0 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	0
1201 86	2201 43	Independent claims in excess of 3	0
1203 290	2203 145	Multiple dependent claim, if not paid	0
1204 86	2204 43	** Reissue independent claims over original patent	0
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	0
SUBTOTAL (2)			(\$) 0

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	0
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	0
1053 130	2053 65	Non-English specification	0
1812 2,520	2812 1,260	For filing a request for ex parte reexamination	0
1804 920*	2804 460*	Requesting publication of SIR prior to Examiner action	0
1805 1,840*	2805 920*	Requesting publication of SIR after Examiner action	0
1251 110	2251 55	Extension for reply within first month	11 0.00
1252 420	2252 210	Extension for reply within second month	0
1253 850	2253 425	Extension for reply within third month	0
1254 1,480	2254 740	Extension for reply within fourth month	0
1255 2,010	2255 1,005	Extension for reply within fifth month	0
1401 330	2401 165	Notice of Appeal	0
1402 330	2402 165	Filing a brief in support of an appeal	0
1403 290	2403 145	Request for oral hearing	0
1451 1,510	2451 755	Petition to institute a public use proceeding	0
1452 110	2452 55	Petition to revive - unavoidable	0
1453 1,330	2453 665	Petition to revive - unintentional	0
1501 1,330	2501 665	Utility issue fee (or reissue)	0
1502 480	2502 240	Design issue fee	0
1503 640	2503 320	Plant issue fee	0
1460 130	2460 65	Petitions to the Commissioner	0
1807 50	2807 25	Processing fee under 37 CFR 1.17(q)	0
1806 180	2806 90	Submission of Information Disclosure Stmt	0
8021 40	2801 20	Recording each patent assignment per property (times number of properties)	0
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	0
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	0
1801 770	2801 385	Request for Continued Examination (RCE)	79 0.00
1802 900	2802 450	Request for expedited examination of a design application	0

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**900.00****SUBMITTED BY**

Name (Print/Type) Richard J. Mitchell

Registration No.
(Attorney/Agent)

34,519

Telephone (613) 236-9561

Signature

Date

12 October 2004

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PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**900.00****Complete if Known**

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Filing Date	11 October 2001
First Named Inventor	LUC OUELLET
Examiner Name	HOFFMAN, John M.
Art Unit	1731
Attorney Docket No.	12251-US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

13-1717

Marks & Clerk

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

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1004 770	2004 385	Reissue filing fee	0
1005 160	2005 80	Provisional filing fee	0

SUBTOTAL (1) (\$)**0****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
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Multiple Dependent	-3 =	0	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
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1204 86	2204 43	-- Reissue independent claims over original patent	0
1205 18	2205 9	-- Reissue claims in excess of 20 and over original patent	0

SUBTOTAL (2) (\$)**0**

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	0
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	0
1053 130	1053 130	Non-English specification	0
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	0
1804 920	1804 920	Requesting publication of SIR prior to Examiner action	0
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1253 850	2253 475	Extension for reply within third month	0
1254 1,480	2254 740	Extension for reply within fourth month	0
1255 2,010	2255 1,005	Extension for reply within fifth month	0
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1402 330	2402 165	Filing a brief in support of an appeal	0
1403 290	2403 145	Request for oral hearing	0
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1453 1,330	2453 665	Petition to revive - unintentional	0
1501 1,330	2501 665	Utility issue fee (or reissue)	0
1502 480	2502 240	Design issue fee	0
1503 640	2503 320	Plant issue fee	0
1460 130	1460 130	Petitions to the Commissioner	0
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	0
1806 180	1806 180	Submission of Information Disclosure Stmt	0
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	0
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1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	0
1801 770	2801 385	Request for Continued Examination (RCE)	79 0.00
1802 900	1802 900	Request for expedited examination of a design application	0

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**900.00**

(Complete if applicable)

SUBMITTED BY	Richard J. Mitchell	Registration No.	34,519	Telephone	(613) 236-9561
Name (Print/Type)		Attorney/Agent		Date	12 October 2004
Signature					

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